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I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231, ON THE DATE INDICATED BELOW.

By:

*Sheila Cogan*

Date:

*November 13, 2001*

**PATENT**



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re: Patent Application of  
Nanning J. Arfsten, *et al.*

: Group Art Unit: 1775

Appln. No.: 09/437,948

: Examiner: J. McNeil

Conf. No.: 8672

Filed: November 10, 1999

For: NIOBIUM OXIDE-BASED LAYERS  
FOR THIN FILM OPTICAL COATINGS  
AND PROCESSES FOR PRODUCING  
THE SAME

: Attorney Docket  
No. 275-3

**RECEIVED**  
JAN 14 2002  
**TC 1700**

**AMENDMENT TRANSMITTAL LETTER**

Transmitted herewith is an Amendment in the above-identified application.

☐ Substitute Specification.

☒ Small Entity status:

☒ has previously been claimed/established.

☐ is hereby claimed under 37 C.F.R. §1.27, as ☐ an Independent Inventor, or ☐ a Small Business Concern, or ☐ a Non-Profit Organization.

The additional claim fees have been calculated as follows:

					SMALL ENTITY		LARGE ENTITY	
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDIT. FEE	RATE	ADDIT. FEE
TOTAL	10	(-)	20	0	x\$9		x\$18	
INDEP.	5	(-)	4	1	x\$42	1	x\$84	
<input type="checkbox"/> 1st PRESENTATION OF MULTIPLE DEPENDENT CLAIMS					+ \$140		+ \$280	
					<b>TOTAL</b>	<b>\$42</b>		

The additional claim fees are being paid by:

- ☒ [X] A check in the amount of \$42.00.
- ☒ [X] Authorization to charge and/or credit **Deposit Account No. 50-1017 (Billing No. 200275.0003)** as noted below. A duplicate copy of this sheet is enclosed.
- ☒ [X] Any overpayments or deficiencies in the above-calculated fee.
- ☐ [ ] Additional claim fee in the amount of \$ \_\_\_\_ .00 as calculated above.
- ☒ [X] Any additional fees required under 37 C.F.R. § 1.16 and/or § 1.17.
- ☒ [X] In the event that a Petition for Extension of Time is required, but not submitted, please charge any extension fee under 37 C.F.R. § 1.136(a) to our Deposit Account.

**CORRESPONDENCE ADDRESS**

11/11/01  
(Date)

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Enclosure